SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

Estimated average burden hours per response:

Check this box if no longer subject to	
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or S	Section 30(h) of the Inv	vestme	nt Con	npany Act of 1	940							
1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol <u>Akari Therapeutics Plc</u> [AKTX]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Ungar Stua	<u>n</u>						X	Director	X 10%	Owner					
1	(First) ARK FIDUCIA	09/2	ate of Earliest Transac 21/2015	tion (M	onth/D	ay/Year)		Officer (give title below)	Oth belo	er (specify w)					
6 PLACE DES EAUX-VIVES, PO BOX 3461				Amendment, Date of C	Driginal	Filed	(Month/Day/Ye	6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable						
(Street) GENEVA	V8	1211						X	, ,						
(City)	(State)	(Zip)													
		Table I - Nor	n-Derivative	e Securities Acqu	uired,	Dis	posed of, c	or Bene	eficially	Owned					
1. Title of Security (Instr. 3) Date (Month/				Execution Date,	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership			
						v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
				Securities Acqui calls, warrants, d			,		-	wned					
<u> </u>									3						

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Options (right to buy)	\$0.3221	09/21/2015		Α		211,278		09/21/2016	02/21/2025	Ordinary Shares	211,278	\$ 0	211,278	D	

Explanation of Responses:

/s/ Elliott Smith, Attorney-in-

Fact

09/23/2015

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.